REPORT DOCUMENTATION PAGE

Form Approved OMB NO. 0704-0188

gathering and maintaining the data needed, and	l completing and reviewing the collection of in sing this burden, to Washington Headquarters	formation. Send commen Services, Directorate for it	me for reviewing instructions, searching existing data sources, nt regarding this burden estimates or any other aspect of this collection information Operations and Reports, 1215 Jefferson Davis Highway, 0704-0188,) Washington, DC 20503.			
1. AGENCY USE ONLY (Leave Blank)	2. REPORT DATE	3	3. REPORT TYPE AND DATES COVERED Non-peer reviewed reprint			
4 TITLE AND SUBTITLE			5. FUNDING NUMBERS			
A Versatile Route to Fund	ctionalized Block Copolyr	ners by				
Nitroxide Mediated 'Living' Free Radical Polymerization						
6. AUTHOR(S): Craig Hawker, Didier Benoit, Felix Rivera, Jr., Marcelo Piotti, Ian Rees, James L. Hedrick, Christina Zech, Gerhard Maier, Brigitte Voit, Rebecca			DAA655-97-1-0126			
Braslau and Jean M. J. Fréchet						
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)			8. PERFORMING ORGANIZATION REPORT NUMBER			
Department of Chemistry, University of California, Berkeley, CA 94720-1460			REPORT NUMBER			
9. SPONSORING / MONITORING AGI	ENCY NAME(S) AND ADDRESS(ES)		10. SPONSORING / MONITORING AGENCY REPORT NUMBER			
II C Ammy Daggarch Office			AGENC I REPORT NUMBER			
U. S. Army Research Office						
P.O. Box 12211	05500 0011					
Research Triangle Park, NC 27709-2211			37254.93-CH-MUR			
11. SUPPLEMENTARY NOTES			3.43 10 13			
The views, opinions and/or f	indings contained in this report a	re those of the auth	hor(s) and should not be construed as an official			
Department of the Army position, policy or decision, unless so designated by other documentation.						
12 a. DISTRIBUTION / AVAILABILITY STATEMENT			12 b. DISTRIBUTION CODE			
Approved for public release; distribution unlimited.						
13. ABSTRACT (Maximum 200 words)						
We have recently shown	that replacement of TEM	PO as the med	diating nitroxide in 'living' free radical			
polymerizations by alpha-hydrogen derivatives leads to monomer selection and functional group						
compatability approaching that of ATRP based systems. The ability of these new alkoxyamines, such						
as 1, to mediate the homopolymerization of a wide variety of monomers should permit a much greater						
range of well defined random, block, and star copolymers to be prepared under simplified conditions.						
*						
14. SUBJECT TERMS			15. NUMBER OF PAGES			
block copolymers, 'living' free radical polymerization, functional group			group 2			
compatability			16. PRICE CODE			
			.			
17. SECURITY CLASSIFICATION	18. SECURITY CLASSIFICATION	19. SECURITY CL	ASSIFICATION 20. LIMITATION OF ABSTRACT			
OR REPORT UNCLASSIFIED	ON THIS PAGE UNCLASSIFIED	OF ABSTRACT UNCLAS				
NSN 7540-01-280-5500			Standard Form 298 (Rev.2-89)			
a and a supplied to the supplied of the suppli			Prescribed by ANSI Std. 239-18			

Enclosure 1

DAA655 -97-1-0124

A VERSATILE ROUTE TO FUNCTIONALIZED BLOCK COPOLYMERS BY NITROXIDE MEDIATED 'LIVING' FREE RADICAL POLYMERIZATION

Craig Hawker^{1*}, Didier Benoit, ¹ Felix Rivera, Jr., ¹ Marcelo Piotti, ^{1,4} Ian Rees, ¹ James L. Hedrick, ¹ Christina Zech, ^{1,3} Gerhard Maier, ³ Brigitte Voit, ² Rebecca Braslau, ⁴ Jean. M.J. Fréchet^{5*}

IBM Almaden Research Center, 650 Harry Road, San Jose, CA 95120.
 Institute of Polymer Research Dresden, Hohe Strasse 6, D-01069 Dresden
 TU Munchen, Lehrstuhl für Makromolekulare Stoffe, D-85474 Garching

Department of Chemistry, University of California, Santa Cruz, CA 95064
 Department of Chemistry, University of California, Berkeley, CA 94720

Introduction

In comparison with other living techniques, two of the unique features of 'living' free radical polymerizations are their compatibility with a wide range of functional groups, coupled with their ability to prepare well defined random and block copolymers from a variety of monomers. 'We have recently shown that replacement of TEMPO as the mediating nitroxide in 'living' free radical polymerizations by α -hydrogen derivatives leads to monomer selection and functional group compatibility approaching that of ATRP based systems. The ability of these new alkoxyamines, such as 1, to mediate the homopolymerization of a wide variety of monomers should permit a much greater range of well defined random, block, and star copolymers to be prepared under simplified conditions.

Results and Discussion - Random Copolymers

Initially the random copolymerization of styrene and butyl acrylate mixtures in the presence of 1 and acetic anhydride at 125°C was examined. In contrast to the results obtained with TEMPO, both molecular weight and polydispersity control was excellent, with all molecular weights being within 10% of the theoretical molecular weights and polydispersities between 1.08 and 1.20. Given the control afforded by 1 in the homopolymerization of both styrenics and acrylates, this ability to prepare well-defined random copolymers is expected.

However a more surprising result was obtained when the random copolymerization of styrene and methyl methacrylate was examined. In this case, well defined random copolymers could be obtained up to very high methyl methacrylate ratios (ca. 85%) and only at methacrylate ratios of greater than 90% did the polydispersity become greater than 1.50. Significantly no resonances were observed in the 5.50-6.20 ppm region, characteristic of alkene terminated chains. To better appreciate these results, the polydispersities obtained for the random copolymerization of styrene/butyl acrylate and styrene/methyl methacrylate mixtures initiated by 1 were compared with those for TEMPO based systems, 2. Significantly greater control is observed with 1 compared to TEMPO at essentially all molar ratios and becomes exacerbated at molar percentages of styrene of less than 60% (Figure 1). Similarly the random copolymerization of acrylates and methacrylates, which fails for TEMPO based systems such as 2, was a controlled process in the presence of 1. For example, a mixture of t-butyl acrylate (100 equiv.) and methyl methacrylate (100 equiv.) were heated at 125°C in the presence of 1.0 equivalents of 1 and 0.05 equiv. of the free nitroxide 3. The copolymer obtained was shown to be a statistical random copolymer by ${}^{1}H$ NMR and analysis by GPC, $M_{n}=22\,000$ PD. = 1.19, demonstrating that the level of control was similar to that found above for the styrene/methacrylate random copolymers. This was further confirmed by examination of a wide range of copolymer ratios which showed that well defined materials (PD. < 1.35) were obtained up to 80% of methyl

methacrylate and only at higher methacrylate ratios did polydispersities become greater than 1,50.

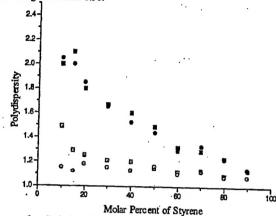


Figure 1. Relationship between polydispersity of the resulting random copolymers and mole percent of styrene in the feed mixture for the copolymerization of (i) styrene and n-butyl acrylate (I), (ii) styrene and methyl methacrylate (I) mediated by TEMPO based systems, 2, compared with (iii) styrene and n-butyl acrylate (O), and (iv) styrene and methyl methacrylate (I) mediated by 1.

Similar control was obtained with other monomers such as acrylamides and acrylonitrile, which clearly demonstrates that an extremely diverse set of well-defined random copolymers can be easily, prepared using nitroxide mediated processes.

Table 1. Polydispersity and polystyrene equivalent molecular weights, M_n, for the bulk random copolymerization of styrene and a variety of functionalized monomers (200 equiv.) in the presence of 1 at 120°C.

Comonomer	Ratio of Sty/Comonomer	M _n	Polydispersity
CN O NMe ₂	90/10 70/30 50/50 30/70 70/30 50/50 30/70	21 500 22 000 22 500 19 000 20 000 18 000 19 500	1.09 1.12 1.14 1.06 1.1 1.11
NMe ₂	90/10	19 500	1.09
	80/20	20 000	1.08
	50/50	20 500	1.12
O-CH ₂ CF ₂ CF ₂ CF ₃	90/10	19 500	1.07
	70/30	17 000	1.12
	50/50	18 000	1.22

The ability to polymerize functionalized monomers under controlled conditions is a major advantage of 'living' free radical procedures. ³⁴ The demonstrated capacity of 1 to polymerize a wide variety of monomer families suggested that it might also be compatible with reactive functional groups such as carboxylic acids, epoxides, etc. This feature was probed by copolymerizing mixtures of styrene, or butyl acrylate, with a variety of reactive monomer units. As shown in Tables 1 and 2, a high degree of control was maintained over the random copolymerization even in the presence of a significant amount of the reactive monomer unit, ca. 1:1. At low levels of incorporation, ca. <25%, the influence of functionalized monomers on the level of control was negligible. For a range of functional groups, from basic amine, acidic carboxylate, fluorocarbon, to hydrophilic groups low polydispersity, well-defined polymer were obtained. Only at high loading levels (ca. 50%) and in select cases, such as acrylic acid and glycidyl acrylate,

did the polydispersities rise to 1.5-1.55. Similar difficulties in the polymerization of acrylic acid was observed in ATRP procedures by Matyjaszewski where the catalyst is deactivated by the co-ordinating ability of the monomer.⁵

Table 2. Polydispersity and polystyrene equivalent molecular weights, M_B, for the bulk random copolymerization of butyl acrylate and a variety of functionalized monomers (200 equiv.) in the presence of 1 and 3 (0.05 equiv.) at 120°C.

Comonomer	r Ratio of Acrylate/Comonomer		Polydispersity
	Actylate/Comonomer		-
			į
	90/10	17 000	1.18
	80/20	14 500	1.15
	. 70/30	16 500	1.13
_CN	50/50	18 000	1.13
	30/70	18 000	1.1
	10/90	17 000	1.15
1	2470	17000	1.13
	95/5	19 500	1.12
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	90/10	21 500	1.1
	80/20	22 000	1.26
OH	50/50	20 000	1.55
			i
\ \\ \\ \\ \\	70/30	15 500	1.18
	50/50	14 500	1.19
NMe ₂	30/70	17 000	1.15
1			
l " o	95/5	19 000	1.14
L-OH·	90/10	18 000	1.19
\ <u></u>	50/50	18 000	1.30
1			1.50
	90/10	19 500	1.10
O-CH ₂ CF ₂ CF ₂ CF ₃	50/50	19 000	1.12 1.25
	30/30	19 000	1.25
	90/10	20 000	1.17
	80/20	19 000	1.17
оснисниоснисниосни	50/50	17 000	1.15
	30/30	. 17000	1.55
	95/5	20 000	1.16
	90/10	21 000	1.18
	80/20	23 000	1.18
~~i	50/50	28 000	1.52

Block Copolymers

The presence of dormant initiating centers at the chain end/s of linear polymers prepared by both nitroxide mediated and ATRP procedures provides unique opportunities for the preparation of block copolymer structures and this feature has been exploited by numerous groups. While the block copolymers available from 'living' free radical procedures may not be as well defined as the best examples available from anionic techniques, they have the advantage of greater availability and a significantly greater tolerance of functional groups.

In exploiting these opportunities, nitroxide mediated systems has lagged behind ATRP based systems, primarily due to the more limited choice of monomer units that could be efficiently homopolymerized. The ability of 1 to overcome this limitation opens up the possibility of preparing a wide range of block copolymer structures using nitroxide mediated procedures. Initially, an alkoxyamine functionalized poly(n-butyl acrylate) block, 4 ($M_a = 7$ 800, PD. = 1.08), was initially grown and then used to polymerize 200 equivalents of styrene in the presence of acetic anhydride (1.0 equivalents) at 123°C under argon for 8 hours. This resulted in 92% conversion and gave the block copolymer, 5, analysis of which revealed the expected increase in molecular weight ($M_a = 28$ 000, PD. = 1.09), while the polydispersity remained very low and there were no detectable amount of unreacted starting poly(acrylate) block

as analyzed by a combination of GPC and h.p.l.c. techniques. This block copolymer formation proved to be a general procedure and permitted a wide compositional range of poly(n-butyl acrylate)-b-polystyrene block copolymers to be prepared with accurate control of molecular weight up to 200 000 a.m.u. and polydispersities typically in the range of 1.06-1.19 (Scheme 1).

Scheme 1

The preparation of functionalized derivatives of 1 also permits the synthesis of more complex block copolymer architectures. For example, the difunctional initiator, 6, can be readily prepared and a wide variety of ABA triblock copolymers, 8, obtained by initial polymerization of butyl acrylate to give the central B block, 7, followed by polymerization of the two outer polystyrene A blocks (Scheme 2). A number of these samples proved to be interesting functionalized thermoplastic elastomers with novel properties and potential applications.

Scheme 2

Acknowledgement. Financial support from the National Science Foundation (DMR-9808677, MRSEC Program, CPIMA), NSF-DMR #9816166, and the Army Research Office (MURI program, DAAG55-97-0126) is acknowledged.

References

- Kazmaier, P. M.; Daimon, K.; Georges, M. K.; Hamer, G. K.; Veregin, R. P. N. Macromolecules 1997, 30, 2228; Li, I.Q.; Howell, B.A.; Dincen, M.T.; Kastl, P.B.; Lyons, J.W.; Meunier, D.M.; Smith, P.B.; Priddy, D.B. Macromolecules 1997, 30, 5194; Kotani, Y.; Kamigaito, M.; Sawarnoto, M. Macromolecules 1998, 31, 5582; Haddleton, D.M.; Crossman, M.C.; Hunt, K.H.; Topping, C.; Waterson, C.; Suddaby, K.G. Macromolecules 1997, 30, 3992; Greszta, D.; Matyjaszewski, K. Polym. Prepr. (Am. Chem. Soc., Div. Polym. Chem.) 1996, 37(1), 569.
- Benoit, D.; Chaplinski, V.; Hawker, Craig J.; Braslau, R. J. Am. Chem. Soc. 1999, 121, in press.
- (3) Weimer, M.W.: Gitsov, I. Fréchet, J.M.J. J. Polym. Sci. Part A. 1998, 36, 955; Puts, R. D.; Sogah, D. Y. Macromolecules 1997, 30, 7050; Stehling, U.M.; Maimstrom, E.E.; Waymouth, R.M.; Hawker, C.J. Macromolecules 1998, 31, 4396.
- (4) Zhang, X.; Xia, J.; Matyjaszewski, K. Macromolecules 1998, 31, 5167; Matyjaszewski, K.; Coca, S.; Jasieczek, C.B. Macromol. Chem. Phys. 1997, 198, 4011.
- (5) Patten, T.E.; Matyjaszewski, K. Adv. Mater. 1998, 10, 901.
- (6) Paik, H.J.; Gaynor, S.G.; Matyjaszewski, K. Macromol. Rapid Commun. 1998, 19, 47; Mecerreyes, D.; Moineau, G.; Dubois, Ph.; Jerome, R.; Hedrick, J.L.; Hawker, C.J.; Malmstrom, E.E.; Trollsas, M. Angew. Chem., Int. Ed. Engl., 1998, 37, 1274; Bertin, D.; Boutevin, B. Polym. Bull. 1996, 37, 337; Kobatake, S.; Harwood, H.J.; Quirk, R.P.; Priddy, D.B. Macromolecules 1998, 31, 3735; Butz, S.; Baethge, H.; Schmidt-Naake, G.; Macromol. Rapid Commun., 1997, 18, 1049; Edgecombe, B.D.; Stein, J.A.; Frechet, J.M.J.; Xu, Z.; Kramer, E.J. Macromolecules 1998, 31, 1202.